



RESPONSIBLE MINERALS ASSURANCE PROCESS ASSESSMENT REPORT

The flagship program of the RMI, the Responsible Minerals Assurance Process (RMAP), formerly the Conflict-Free Site Program (CFSP), takes a unique approach to helping companies make informed choices about responsibly sourced minerals in their supply chains. Focusing on a “pinch point” (a point with relatively few actors) in the global metals supply chain, the RMAP uses an independent third-party audit of site/refiner management systems and sourcing practices to validate conformance with RMAP protocols and current global standards. The audit employs a risk-based approach to validate sites' company level management processes for responsible mineral procurement. Companies can then use this information to inform their sourcing choices. For more information, please visit: www.responsiblemineralsinitiative.org.

Auditee Name	Dynatec Madagsacar SA / Ambatovy
CID Number	CID003968, CID003232
Facility Address	Ambatovy Plant Site, Amboarikarivo, Amboditandroho Toamasina, Atsinanana . Madagascar
Assessment Date(s)	04/11/2022 - 04/13/2022
Assessment Type	Initial Audit
Assessed Material	Nickel, Cobalt
Sourcing from High-Risk Supply Chains	
Assessment Cycle	1 year

I. ASSESSMENT SCOPE

Assessment Period	12/06/2020 - 12/06/2021
Assessment Company	Arche Advisors

Indicate which operations take place at the site and are under the same management control	
Mining	<input checked="" type="checkbox"/>
Blending	<input type="checkbox"/>
Solvent Extraction and electrowinning	<input checked="" type="checkbox"/>
Smelting	<input type="checkbox"/>
Refining	<input checked="" type="checkbox"/>
Other (please specify)	Transport of Ore, HPAL Ore Processing

II. ASSESSMENT OBJECTIVES



The objective of the assessment is to assess the auditee's level of conformance with the Responsible Minerals Assurance Process Nickel, Cobalt Standard of .

III. ASSESSMENT METHODOLOGY

The assessment consisted of collecting and reviewing objective evidence including documentation, management and employee interviews, facility walk-through, and other observations demonstrating that the site/refiner's due diligence management system conforms, in all material aspects, to the requirements of the applicable Standard.

IV. CONCLUSION

Assessment Results:

<input checked="" type="checkbox"/>	The assessment was conducted in accordance with ISO19001:2011 Standard, taking into account the guidance provided by the Responsible Minerals Assurance Process. The auditor verified the scope, selected samples, and gathered objective evidence through documentation review, interviews, and visual observations.
<input checked="" type="checkbox"/>	The auditor found that the auditee's due diligence system are in conformance, in all material aspects, with the requirements of the Responsible Minerals Assurance Process Tin and Tantalum / Tungsten / Gold Standard of 2017 or Cobalt Standard of 2018 and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.
<input type="checkbox"/>	The auditor identified material non-conformance(s) between the auditee's systems, processes and practices and the requirements of the Responsible Minerals Assurance Process Tin and Tantalum / Tungsten / Gold Standard of 2017 or Cobalt Standard of 2018 and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.
	Material non-conformance(s) relate to:

Auditor Statements:

<input checked="" type="checkbox"/>	The information provided by the auditee is true and accurate to the best knowledge of the Auditor(s) preparing the report.
<input checked="" type="checkbox"/>	The findings are based on verified objective evidence relevant to the time period for the assessment.
<input checked="" type="checkbox"/>	The Auditor(s) have acted in a manner deemed ethical, truthful, accurate, professional, independent and objective.
<input checked="" type="checkbox"/>	The Auditor(s) are properly qualified to carry out the assessment.
<input checked="" type="checkbox"/>	There were no limitations to this audit.